

61 11321-P013WOUS



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AF 11254
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JAN 09 2004
TC 1700
PATENT

In re Application of: § Serial No: 09/787,473
John L. Margrave et al. §
§ Filed: March 16, 2001
For: CHEMICAL DERIVATIZATION OF §
SINGLE WALL CARBON NANOTUBES §
TO FACILITATE SOLVATION §
THEREOF, AND USE OF DERIVATIZED §
NANOTUBES §
§ Examiner: Stuart L. Hendickson
703.308.2539
Atty Dkt: 11321-P013WOUS

**AMENDMENT TRANSMITTAL AND
PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

In connection with the above-identified patent application, Applicants transmit herewith a Second Amendment Under 37 C.F.R. §1.111, and Acknowledgment Postcard.

- ☐ No additional fee is required.
☒ The fee has been calculated as shown below:

01/06/2004 SDIRETR1 00000013 09787473

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110.00 0P

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on December 24, 2003.

Angela Franklin
ANGELA FRANKLIN

11321-P013WOUS

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PATENT

EXTENSION OF TERM

TC 1700

- (a) ☒ Applicants petition for an extension of time under 37 CFR 1.136 (fees: 37 CFR 1.17(a)-(d)) for the total number of months checked below:

| Extension (months) | Fee for other than small entity | Fee for small entity |
|---|---------------------------------|----------------------|
| <input checked="" type="checkbox"/> one month | \$ 110.00 | \$ 55.00 |
| <input type="checkbox"/> two months | \$ 410.00 | \$205.00 |
| <input type="checkbox"/> three months | \$ 930.00 | \$465.00 |
| <input type="checkbox"/> four months | \$1,450.00 | \$725.00 |
| Fee | \$ 0.00 | |

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- (b) ☐ An extension for _____ months has already been secured and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.
Extension fee due with this request \$ _____
or
☐ Applicants believe that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

FEE FOR CLAIMS

The fee for claims (37 C.F.R. §1.16(b)-(d)) has been calculated as shown below:

| CLAIMS AS AMENDED | | | | | | |
|---|----------------------------------|---|------------------------------------|---------------|----------|----------------|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDITIONAL FEE |
| Total Claims: | 33 | - | 33 | 0 | x \$18 = | \$ - 0.00 - |
| Independent Claims: | 8 | - | 8 | 0 | x \$84 = | \$ - 0.00 - |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | = | \$ | - 0.00 - |

FEE PAYMENT

- ☒ Attached is a check in the sum of \$110.00 to pay for a one (1) month extension of time.
- ☐ Charge Deposit Account No. 23-2426 (11321-P013WOUS) the sum of \$0.

FEE DEFICIENCY

If the check is missing, or if the claim fee and/or extension fee has been calculated incorrectly, the Assistant Commissioner is hereby authorized to charge any additional fee necessitated by the filing of the enclosed Amendment, or credit any overpayment, to Deposit Account No. 23-2426 (11321-P013WOUS) in the name of Winstead Sechrest & Minick P.C. **A photocopy of this transmittal is attached.**

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

By: 

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Reg. No. 38,150

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